

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/568,278 Osamu Funahashi 20060160A

INTERNATIONAL APPLICATION NO.

52349 WENDEROTH, LIND & PONACK L.L.P. 2033 K. STREET, NW SUITE 800 WASHINGTON, DC 20006 PCT/JP05/14069

I.A. FILING DATE PRIORITY DATE

08/02/2005 08/27/2004

CONFIRMATION NO. 3294
371 FORMALITIES LETTER

\*OC000000020383662\*

Date Mailed: 09/12/2006

### **Notification of Insufficient Fees (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/15/2006
- English Translation of the IA filed on 02/15/2006
- Copy of the International Search Report filed on 02/15/2006
- Preliminary Amendments filed on 02/15/2006
- Information Disclosure Statements filed on 02/15/2006
- Oath or Declaration filed on 02/15/2006
- Request for Immediate Examination filed on 02/15/2006
- Copy of references cited in ISR filed on 02/15/2006
- Priority Documents filed on 02/15/2006



WENDEROTH, LIND & PONACK

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$730 for a Large Entity:

- \$130 Surcharge.
  - The application search fee has not been paid. Applicant must submit \$400 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

The application examination fee has not been paid. Applicant must submit \$200 to complete the
examination fee for a non-small entity. Note a surcharge will be required if submitted later than
commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before
July 1, 2005.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/568,278	PCT/JP05/14069	20060160A

FORM PCT/DO/EO/923 (371 Formalities Notice)

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

# IAP13 Rec'd PCT/PTO 02 OCT 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI : Confirmation No. 3294

Serial No. 10/568,278 : Mail Stop: MISSING PARTS

Filed February 15, 2006 : Attorney Docket No. 2006 0160A

SPEAKER
[Corresponding to PCT/JP2005/014069
Filed August 2, 2005]

### **RESPONSE TO NOTIFICATION OF INSUFFICIENT FEES**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

A Notification of Insufficient Fees was mailed by the U.S. PTO on September 12, 2006, and indicated that the search fee (\$400.00) and the examination fee (\$200.00) were <u>not</u> paid upon entry into the U.S. National Stage on February 15, 2006. Accordingly, the Notification required submission of these fees, together with a \$130.00 PTO surcharge.

However, the Notification is incorrect. As indicated on page 2 of the "Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. §371", the U.S. National Stage entry was accompanied by a check in the amount of \$900.00, to cover the basis national stage fee (\$300.00), the national stage search fee (\$400.00) and the national stage examination fee (\$200.00).

As evidence that the U.S. PTO in fact received the \$900.00 fee, including the search and examination fees, a copy of a PTO-stamped postal receipt card is enclosed. The PTO date-

stamped postal receipt card shows that the U.S. PTO in fact received the \$900.00 on February 15, 2006. Further, a copy of the cancelled check is also enclosed.

Thus, contrary to the Notification of Insufficient Fees, the papers filed on February 15, 2006 to enter into the U.S. national stage were properly accompanied by the basic national stage fee, the national stage search fee and the national stage examination fee, totaling \$900.00. As such, no further fees are justified, and it is respectfully requested that the U.S. PTO formally withdraw the Notification of Insufficient Fees mailed September 12, 2006.

However, in the event any fees are deemed necessary, the U.S. PTO is authorized to charge Deposit Account 23-0975.

Respectfully submitted,

Osamu FUNAHASHI

By: 🖊

Charles R. Watts

Registration No. 33,142 Attorney for Applicant

CRW/asd Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 October 2, 2006 10/568278

**ATTY DOCKET #: 2006\_0160A** 

OUR REF: 2006\_0160A/CRW/00169

Applicant: Osamu FUNAHASHI

al No.: NEW

Title: SPEAKER

Due Date: March 10, 2006

Filing Date: February 15, 2006

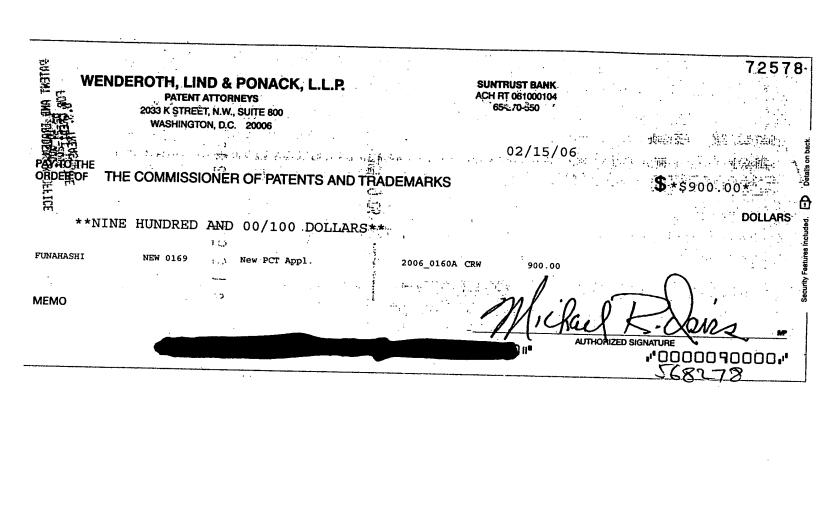
## Receipt of the following papers is acknowledged:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (National stage application of PCT/JP2005/014069)
- 2. Attachments: (A) PCT application (as filed) including cover page (3 pages), specification, claims and abstract (10 pages) and drawings (4 pages); (B) English Translation of PCT Application including specification, claims and abstract (14 pages) and 6 pages of drawings (A4P, Figs. 1-8); (C) executed Declaration and Power of Attorney with cover letter; (D) Information Disclosure Statement with Form PTO-1449, International Search Report and four references; (E) Preliminary Amendment including replacement formal drawings (Figs. 4-8); (F) Form PCT/IB/304
- 3. Check in the amount of \$900.00

  AP3 Rec'd PCT/PTO 15 FEB 2006

Date February 15, 2006

Attorney CRW/asd [Check No. 72578



SUNTRUST RIC : 02242000 6429-601 : INCL 5200069130

> MACHOVIA NA SVC071 3254T PHILA, PA 02232006 21PK 1015227£7£

PATENT AND TRANSPORT OFFICE